



# STATE OF CONNECTICUT

## INSURANCE DEPARTMENT

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In the Matter of:

PROPOSED ACQUISITION OF CONTROL OF

TALCOTT RESOLUTION LIFE INSURANCE COMPANY, ET AL. Docket No.21-15

by  
SUTTON HOLDINGS GP, LLC, ET AL.

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### RECORD OF PROCEEDING

1. Form A Statement to the Connecticut Insurance Department regarding the acquisition of control of Talcott Resolution Life Insurance Company, et al. ("Domestic Insurers") by Sutton Holdings GP, LLC, et al. ("Applicants"), dated February 3, 2021, as supplemented with a cover letter, table of contents and exhibits ("Application").
2. Letter to Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, regarding receipt of Application from Jennifer Dowty, Counsel, Connecticut Insurance Department, dated February 18, 2021.
3. Letter to Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, requesting additional information regarding the Application from Jennifer Dowty, Counsel, Connecticut Insurance Department, dated March 23, 2021.
4. Letter to the Connecticut Insurance Department with exhibits responding to the letter from the Department of March 23, 2021, from Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, dated April 13, 2021.
5. Letter to Elizabeth P. Retersdorf, Esq. of Day Pitney, LLP, requesting additional information regarding the Application from Jennifer Dowty, Counsel, Connecticut Insurance Department, dated May 11, 2021.
6. Amended and Restated Form A Statement regarding the acquisition of control of the Domestic Insurers dated May 14, 2021, as supplemented with a cover letter, table of contents and exhibits.
7. Letter to Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, closing the Application but requesting some additional information, from Jennifer Dowty, Counsel, Connecticut Insurance Department, dated May 26, 2021.

8. Letter to Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, regarding scheduling of Public Hearing from Jennifer Dowty, Counsel, Connecticut Insurance Department, dated May 26, 2021.
9. Notice of Public Hearing scheduled for June 15, 2021 and ordered by Andrew N. Mais, Commissioner, Connecticut Insurance Department, dated May 26, 2021.
10. Order by Andrew N. Mais, Commissioner, Connecticut Insurance Department, naming Joshua Hershman as hearing officer, dated June 2, 2021, as certified for delivery by Jennifer M. Dowty, Esq., Commissioner of the Connecticut Superior Court on June 2, 2021.
11. Pro Hac Vice Applications and Affidavits from Allison J. Tam, Esq., and Robert S. Rachofsky of Willkie Farr & Gallagher LLP, for the Applicants, dated June 3, 2021.
12. Notice from Connecticut State Agency Public Meeting Calendar of posting of Public Hearing to Jennifer Dowty, Connecticut Insurance Department, dated June 4, 2021.
13. Letter to the Connecticut Insurance Department with an exhibit revising the organizational chart, from Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, dated June 9, 2021.
14. Pro Hac Vice Applications and Affidavits from Andrew R. Holland, Esq., of Sidley Austin LLP, for the Domestic Insurers, dated June 3, 2021.
15. Affidavit of Publication from the Hartford Courant, dated June 10, 2021.
16. Notice of Appearance from Elizabeth P. Retersdorf, Esq., of Day Pitney, LLP, dated June 11, 2021.
17. Notice of Appearances from Allison J. Tam, Esq., and Robert S. Rachofsky, Esq., of Willkie Farr & Gallagher LLP, for the Applicants, dated June 11, 2021.
18. Notice of Appearance from Lisa M. Proch, Esq., of Talcott Resolution Life, Inc., for the Domestic Insurers, dated June 14, 2021.
19. Notice of Appearance for Andrew R. Holland, Esq., of Sidley Austin LLP, for the Domestic Insurers, dated June 14, 2021.

20. Pre-filed written testimony of A. Michael Muscolino for the Applicants, filed on June 14, 2021.
21. Pre-filed written testimony of Peter Sannizzaro for the Domestic Insurers, filed on June 14, 2021.
22. All Confidential Filings and Correspondence associated with Supplemental Biographical Affidavits, Third Party Verification Reports and Additional Documents as Requested between the Connecticut Insurance Department and the Applicants.

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Elizabeth P. Retersdorf, Esq.      Date  
Day Pitney, LLP

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Jennifer M. Dowty, Esq.      Date  
Connecticut Insurance Department

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Andrew P. Holland, Esq.      Date  
Sidley Austin LLP